

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Balaram Sinharoy

: Group Art Unit:

: 2183

Serial No.: 09/631,726

: Examiner:

: Aimee J. Li

Filed: August 3, 2000

: IBM Corporation

Title: BRANCH PREDICTION CIRCUITS AND : Intellectual Property Law Dept.

METHODS AND SYSTEMS USING THE SAME : Internal Zip 4054

: 11400 Burnet Road

: Austin, Texas 78758

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****BEFORE MAILING DATE OF EITHER A FINAL ACTION****OR NOTICE OF ALLOWANCE (37 C.F.R. §1.97(c))****RECEIVED**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

DEC 22 2003

Technology Center 2100

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. This information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under §1.113 or  
(2) a notice of allowance under §1.311,

whichever occurs first.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on December 11, 2003.

12/16/2003 AADDF01 00000088 09631726

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Serena Beller

## STATEMENT OR FEE

2. Accompanying this transmittal is

*(check either A or B below)*

- A. ☐ a statement as specified in 37 C.F.R. §1.97(e).

OR

- B. ☒ the fee set forth in 37 C.F.R. §1.17(p) for submission of a information disclosure statement under §1.97(c). (\$180.00).

## FEE PAYMENT

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.117(p) for submission of a information disclosure statement under §1.97(c) (\$180.00).

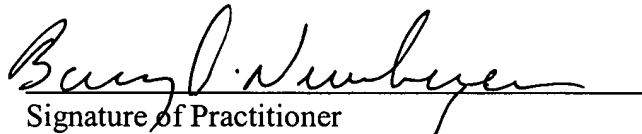
Fee due \$ 180.00

## METHOD OF PAYMENT OF FEE

4. ☒ Attached is a check in the amount of \$ 180.00.  
☐ Charge Account No. 09-0447 (AT9-98-535) in the amount of \$ 0.

**A duplicate of this request is attached.**

If any additional fees are due, please charge Account 09-0447 (AT9-98-535).

  
Signature of Practitioner

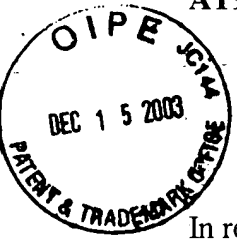
Barry S. Newberger  
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AT9-98-535

PATENT



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Balaram Sinharoy

Serial No.: 09/631,726

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Title: BRANCH PREDICTION CIRCUITS AND  
METHODS AND SYSTEMS USING THE SAME

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: Examiner: Technology Center 2100  
: Aimee J. Li  
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: IBM Corporation  
: Intellectual Property Law Dept.  
: Internal Zip 4054  
: 11400 Burnet Road  
: Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

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**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on December 11, 2003.

Serena Beller

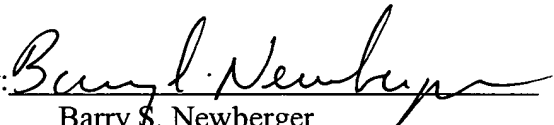
In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

By:   
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